

Amendments to the Specification

Please replace the fourth paragraph on page 6, lines 9-10, with the following amended paragraph:

In a further aspect of this [[embodiment]] embodiment R₁ and R₂ are saturated or unsaturated C₁₀-C₁₈ alkyl groups.

Please replace the fourth paragraph on page 9, lines 18-19, with the following amended paragraph:

In other compounds, [[R5]] R₅ is selected from the group consisting of monosaccharides, disaccharides, and polysaccharides.

Please replace the last paragraph on page 21, lines 32-35, with the following amended paragraph:

wherein if n is 1, and m is 2 to 6, and R₁ and R₂ separately or together are C₁-C₂₃ alkyl or C(O)-C₁-C₂₃, and [[R3 and R4]] R₃ and R₄ separately or together are H or unbranched alkyl C₁-C₆, and R₅ is NH-R₆-R₇ then R₆-R₇ is not -(CH₂)_zNH₂ where z is 2-6; or -(CH₂)₃-NH-(CH₂)₄NH₂; or -NH-(CH₂)₃-NH-(CH₂)₄-NH(CH₂)₃NH₂, C(O)-fluorescein, or

Please replace the third paragraph on page 32, line 46, with the following amended paragraph:

In a preferred embodiment of this structure, $n=1-2$. In another preferred embodiment, $m=2-4$. In a further preferred embodiment, $k=0-4$. Preferentially, $[[R3-10]] \underline{R_{3-10}}$, if alkyl, are $[[C10-15]] \underline{C_{10-15}}$.

Please replace the fifth paragraph on page 32, lines 27-35, with the following amended paragraph:

wherein $[[R1, R2, R3 \text{ and } R4]] \underline{R_1, R_2, R_3, \text{ and } R_4}$ are independently linear or branched, unsubstituted or substituted C_{1-23} alkyl, acyl, alkylene or heteroalkyl groups having from 0 to 6 sites of unsaturation, cyclic and aryl groups, and containing from 0 to 5 heteroatoms wherein said heteroatoms are not the first atoms in said groups, the substituent groups selected from $-O-(CH_2)_k-CH_3$, $-S-(CH_2)_k-CH_3$, $X-(CH_2)_k-$, wherein X is a halide, and $-N-((CH_2)_k-CH_3)_2$, wherein the alkyl groups contain from 0 to 2 heteroatoms; n is 1 to 6; m is 2 to 10; and $[[R5]] \underline{R_5}$ is a chemical structure having functional groups that define a species of formula 2. $[[R5]] \underline{R_5}$ is preferably linked to the ammonium nitrogen through an alkyl linker, which can also contain heteroatoms.

Please replace the last paragraph on page 34, lines 32-35 (and continuing with the first 3 lines on page 35), with the following amended paragraph:

$[[R1 \text{ and } R2]] \underline{R_1 \text{ and } R_2}$ are independently H, linear or branched, unsubstituted or substituted C_{1-23} alkyl, acyl, alkylene or heteroalkyl groups having from 0 to 6 sites of

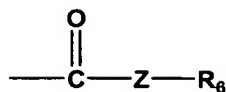
unsaturation, cyclic and aryl groups, said groups comprising from 0 to 5 heteroatoms wherein said heteroatoms are not the first atoms in said group, wherein the substituent groups are selected from $-O-(CH_2)_k-CH_3$, $-S-(CH_2)_k-CH_3$, $X-(CH_2)_k-$, wherein X is a halide, and $-N-((CH_2)_k-CH_3)_2$, wherein the alkyl groups comprise from 0 to 2 heteroatoms and k is 0 to 4.

Please replace the first full paragraph on page 35, lines 4-10, with the following amended paragraph:

[[R3 and R4]] R₃ and R₄ are independently H, linear or branched, unsubstituted or substituted C₁₋₂₃ alkyl, alkylene or heteroalkyl groups having from 0 to 6 sites of unsaturation, cyclic and aryl groups, said groups comprising from 0 to 5 heteroatoms wherein said heteroatoms are not the first atoms in said group, wherein the substituent groups are selected from $-O-(CH_2)_k-CH_3$, $-S-(CH_2)_k-CH_3$, $X-(CH_2)_k-$, wherein X is a halide, and $-N-((CH_2)_k-CH_3)_2$, wherein the alkyl groups of said substituents comprise from 0 to 2 heteroatoms and k is 0-4;

Please delete the second full paragraph on page 35, lines 13-29, with the following amended paragraph:

[[R5]] R₅ has the structure



wherein Z is selected from the group consisting of I, S, NR₁, NH, Se, and
[[CR7R8]] CR₇R₈;

[[R6]] R₆ is selected from the group consisting of absent, H, [[R1, R2, R3 and
R4]] R₁, R₂, R₃ and R₄;

n is 1 to 6;

m is 1 to 10;

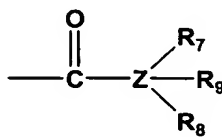
Y is a pharmaceutically acceptable anion; and

[[R7 and R8]] R₇ and R₈ independently or in combination are H or alkyl
groups as defined for [[R1 and R2]] R₁ and R₂;

wherein if Z is O, n is 1, and m is 3, then [[R6]] R₆ is selected from the group
defined for [[R3 and R4]] R₃ and R₄ and wherein R₁ and R₂ are not both H.

Please replace the first paragraph on page 36, lines 15-26, with the following
amended paragraph:

and when Z is C, [[R5]] R₅ has the structure



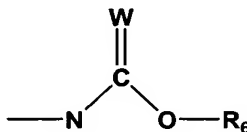
wherein $[[R_7, R_8 \text{ and } R_9]]$ $R_7, R_8, \text{ and } R_9$ are independently H or are selected from the group defined for $[[R_1, R_2, R_3 \text{ and } R_4]]$ $R_1, R_2, R_3 \text{ and } R_4$.

Please replace the second paragraph on page 36, lines 27-29, with the following amended paragraph:

In all members of this species $[[R_6, R_7, R_8 \text{ and } R_9]]$ $R_6, R_7, R_8 \text{ and } R_9$ optionally further comprises a chemically linked amino acid, peptide, polypeptide, protein, nucleic acid, nucleotide, polynucleotide, mono-, di- or polysaccharide, or other bioactive or pharmaceutical agent.

Please replace the first full paragraph on page 38, lines 5-24, with the following amended paragraph:

The carbonyl cationic lipids of the invention also include those having the isomeric carbamyl structure wherein $[[R_5]]$ R_5 has the structure



wherein W is as defined above, $[[R_6]]$ R_6 is as defined for the carboxy species and $[[R_7]]$ R_7 is absent, or is H or an alkyl group as defined for the carboxy species. Preferred embodiments of the carbamate cytofectins comprise methyl carbamate group attached to the lipid through alkyl linkers $(\text{CH}_2)_m$ wherein m is 2 to 4.

Please replace the second full paragraph on page 38, lines 25-30, with the following amended paragraph:

In other preferred embodiments $[[R1 \text{ and } R2]]$ R₁ and R₂ are saturated or unsaturated C₁₀-C₁₈ alkyl groups. In still further preferred embodiments, $[[R1 \text{ and } R2]]$ R₁ and R₂ are identical and are selected from the group consisting of C₁₄H₂₉ and C₁₂H₂₅. In other preferred embodiments, $[[R3 \text{ and } R4]]$ R₃ and R₄ are selected from the group consisting of C₁-C₅ alkyl groups and C₁ to C₅ heteroalkyl groups having one heteroatom therein. In other preferred embodiments $[[R3 \text{ and } R4]]$ R₃ and R₄ are methyl.

Please replace the last paragraph on page 39, line 32, with the following amended paragraph:

wherein $[[R5]]$ R₅ has the structure

Please replace the paragraph at page 40, lines 25-28, with the following amended paragraph:

One species of the cationic lipids of the invention of this class thus has the general structure of formula 1 and is characterized by the presence of a ureyl group in the substituent on the ammonium nitrogen of formula 1. In this species $[[R5]]$ R₅ has the structure as defined above wherein W is oxygen.

Please replace the last paragraph at page 40, lines 30-33, with the following amended paragraph:

Another species of cationic lipids of the invention according to this class are characterized by the presence of a guanidyl group in a substituent of the ammonium group nitrogen of formula 1 and have the general structure of formula 1 wherein $[[R5]]$ \underline{R}_5 has the structure as defined above wherein W is N or NH.

Please replace the first paragraph at page 41, lines 1-3, with the following amended paragraph:

The cationic lipids of the invention also include compounds having the general structure of formula 1 wherein $[[R5]]$ \underline{R}_5 has the structure as defined above wherein W is S or Se.

Please replace the second paragraph at page 41, lines 5-8, with the following amended paragraph:

The cationic lipids of the invention also include compounds having the general structure of formula 1 wherein $[[R5]]$ \underline{R}_5 is as defined above wherein W is C, CH, $[[CHR1]]$ \underline{CHR}_1 , or $[[CR1R2]]$, $\underline{CR}_1\underline{R}_2$ wherein $[[R1 \text{ and } R2]]$, \underline{R}_1 and \underline{R}_2 are as defined for formula 1; $[[R6, R7 \text{ and } R8]]$ \underline{R}_6 , \underline{R}_7 , and \underline{R}_8 are selected from the group defined for $[[R1, R2, R3 \text{ and } R4]]$ \underline{R}_1 , \underline{R}_2 , \underline{R}_3 and \underline{R}_4 .

Please replace the paragraph at page 45, lines 17-20, with the following amended paragraph:

wherein if Z is NH and n is 1 and m is 2 to 6, and $[[R1 \text{ and } R2]]$, R₁ and R₂ separately or together are C₁-C₂₃ alkyl or C(O)-C₁-C₂₃, and $[[R3 \text{ and } R4]]$, R₃ and R₄ separately or together are H or unbranched alkyl C₁-C₆, then $[[R5]]$, R₅ is not $[-(CH_2)_zNH_2]$ $-(CH_2)_zNH_2$ where z is 2-6; or $-(CH_2)_3-NH-(CH_2)_4-NH_2$; or $-NH-(CH_2)_3-NH-(CH_2)_4-NH(CH_2)_3-NH_2$, C(O)-fluorescein, or

Please replace the paragraph at page 47, lines 15-20, with the following amended paragraph:

Preferably, R₁ and R₂ are saturated or unsaturated C₁₀-C₁₈ alkyl groups. In another embodiment R₁ and R₂ are identical and are selected from the group consisting of C₁₄H₂₉ and C₁₂H₂₅. In a further embodiment, R₃ and R₄ are selected from the group consisting of C₁-C₅ alkyl groups and C₁-C₅ heteroalkyl groups having one heteroatom therein. In yet another embodiment, R₃ and R₄ are methyl groups. In an additional embodiment, $[[X1 \text{ and } X2]]$, X₁ and X₂ are NR₄R₅ and R₄ and R₅ are H. In another embodiment, n and m are 2-5.

Please replace the paragraph at page 62, lines 22-25, with the following amended paragraph:

The cationic lipids used were the DLRIE series (n=2-6) and the DOAP series wherein the alkyl chain has either 10, 12 or 14 carbon atoms. The DOAP series corresponds to formula 2 in which $[[R1=R2=\text{unbranched}]]$ $R_1=R_2=\text{unbranched}$ alkyl chain, n=1, $[[R3=R4=CH_3]]$ $R_3=R_4=CH_3$, m=3, G=N and $[[R5-H]]$ $R_5=H$.